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Strengthening preventive care programs: a permanent challenge for healthcare systems; lessons from PREVENIMSS México

RESEARCH ARTICLE

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BACKGROUND

- ❑ It has been a long-standing fact that curative care receives most of the healthcare budgets; however,
- ❑ Preventive care is receiving further attention from scholars, politicians and decision makers given its effectiveness on people's health and its long-term effect on social expectancies and well-being.
- ❑ Current emphasis has shifted toward cost-effective delivery of healthcare which implies finding equilibrium between curative and preventive care through reinforcing primary care services.

BACKGROUND

- ❑ The World Health Organization is a strong advocate to renew **primary health care (PHC)** pointing out that it is the cornerstone of health systems and is the best way to provide comprehensive, equitable and affordable health care.
- ❑ Preventive care is within the main components of PHC and when the provision is comprehensive, it increases the access and uptake of preventive services, which in turn contributes to obtain **better health** and improved **quality**.
- ❑ Providing preventive services within PHC facilitate to obtain both, technical and productive **efficiency**.
- ❑ A number of technical documents have stressed the importance of **prioritizing health interventions** to better allocate the scarce resources.

BACKGROUND

- ❑ The Instituto Mexicano del Seguro Social (IMSS) is the largest public healthcare system in Mexico. It is a nationwide institution that administratively is divided in state delegations.
- ❑ IMSS provides social, economic and health protection to workers of the formal sector and their families.
- ❑ Healthcare benefits comprise preventive, curative and rehabilitation care that is provided in primary care clinics, secondary and tertiary care hospitals.
- ❑ IMSS revenues come from three parties: the government, the employers and the employees. The latter pay the premium according to their income.

BACKGROUND

- ❑ Currently, IMSS provides care to approximately 48 million members. Since the year 2000, this institution reoriented its vision regarding the provision of medical care and began to search for an appropriate balance in its healthcare expenditures for both curative and preventive care.
- ❑ In 2007, chronic conditions such as type 2 diabetes, hypertension, chronic renal failure, cervical cancer, breast cancer and HIV/AIDS accounted for 12.15% of the total IMSS healthcare expenditures.
- ❑ The projections for the year 2050, using an optimistic scenario that includes the strengthening of preventive measures and technological innovation, estimated that the percentage of IMSS healthcare expenditures for these seven conditions would be 22% and the pessimistic scenario (not investing in preventive and curative care) estimated an increase of 57% in health expenditures.

BACKGROUND

- ❑ To strengthen preventive care, IMSS carried out a **situation analysis** of the way in which these services were provided. The analysis showed:
 - 1) **lack of coordination** to provide preventive care. There were > 30 isolated preventive programs (i.e., vaccination program, family planning program, cervical cancer screening program, and so on). These programs were competing among themselves for resources and personnel;
 - 2) **gaps in the health information** system that was unable to provide exact figures regarding its coverage.

BACKGROUND

- ❑ To tackle these flaws, the institution developed the **program PREVENIMSS** (IMSS' Integrated Preventive Care Program) that aimed at improving the delivery of service, and at evaluating the progress of coverage of preventive care services.
- ❑ The usual definition of coverage is the regular update of **the proportion of individuals who need an intervention and actually receive it**; therefore, information about coverage is key to evaluate health programs.

BACKGROUND

- ❑ Strategies integrated the **organizational changes** supporting the implementation of this program:
 - (1) Integration of the scattered preventive activities into a comprehensive package.
 - (2) (2) Reorientation of evaluation criteria, shifting from evaluation of productivity to evaluation of coverage.
- ❑ IMSS launched PREVENIMSS in **2001** and this was accompanied by a permanent mass media campaign with radio and television advertisements.

BACKGROUND

1. **Integration of preventive services.**

PREVENIMSS reorganized the provision of preventive services by programmatic **age groups**: children (0-9 years), adolescents (10-19 years), women (20-59 years), men (20-59 years) and older adults (60 years and older).

- ❑ A number of **organizational and procedural changes** took place at central, district and local level. The old appointment booklets for IMSS individual members were redesigned to include preventive information, dated registries of preventive services, and reminders tailored to suit each programmatic age group.
- ❑ The booklet contains registries about vaccines, screening and educational activities. It also registers the appointments to provide programmed preventive services.
- ❑ Each IMSS member has his/her individual booklet. Preventive services for each age group were reviewed and updated continuously.

Table 1 PREVENIMSS main activities by age group

Activities	Children 0-10 years	Adolescents 11 -19 years	Women 20-59 years	Men 20-59 years	Older adults > = 60 years
Health promotion	Delivery of PREVENIMSS booklets				
	Measurement of height, weight and waist				
Nutrition	Iron supplementation Vitamin A supplementation Intestinal parasites treatment	Intestinal parasites treatment Folic acid supplementation (pregnant teenagers)	Detection of anemia; iron supplementation; folic acid supplementation (pregnant women)		
Prevention and control of diseases	Vaccines: BCG, Sabin; DPT+HB +H1b; Influenza; measles, rubella, pertussis, Oral rehydration therapy for acute diarrhea, identification of alarm signs in acute respiratory infections	Vaccines: measles-rubella, tetanus toxoid, two-dose hepatitis B, Provision of condoms to prevent STDs and HIV/ AIDS and unwanted pregnancies	Vaccines: measles-rubella, tetanus toxoid, diphtheria Tuberculosis: screening and directly observed treatment	Vaccines: measles-rubella, tetanus toxoid. Tuberculosis: screening and directly observed treatment	Vaccines: pneumonia, influenza, tetanus toxoid and diphtheria; Tuberculosis: screening and directly observed treatment
Screening	Congenital hypothyroidism, Phenylketonuria, Congenital adrenal hyperplasia, Biotinidase deficiency, Visual acuity, Childhood caries	Visual acuity	Cervical cancer Breast cancer Type 2 diabetes Hypertension	Type 2 diabetes Hypertension	Cervical cancer Breast cancer Type 2 diabetes Hypertension
Reproductive health	Family planning and antenatal care			Family planning	

BACKGROUND

2. Reorientation of evaluation criteria.

- ❑ The former criterion to evaluate the progress of preventive actions was productivity; the criteria were reoriented to evaluate **coverage**.
- ❑ The registries of **productivity** served only to ascertain **the number of preventive actions provided**; no denominator was used for this measure. Instead, for **coverage**, criteria to receive a preventive action were defined to meet **the health needs** of the affiliated population; i.e., immunization schemes according to age and dose, or periodicity of cervical cancer screening based upon risk factors.
- ❑ This decision helped to focus the provision of preventive actions based in actual health needs rather than in the percentage of people receiving preventive services.

BACKGROUND

- ❑ With the aim of showing the complexity of implementing large scale preventive care programs to reinforce PHC, the objective of this paper is to describe the increase of coverage of preventive actions through the PREVENIMSS program and the magnitude of the unmet needs of some of the most important unsolved and emergent health problems, such as anemia in children, and the growing increase in the prevalence of overweight and obesity and of its consequences, type 2 diabetes and hypertension.

METHODS

- ❑ The evaluation of PREVENIMSS' coverage was conducted through four nation-wide, cross sectional, probabilistic, population-based surveys that were carried out in the years 2003, 2004, 2005 and 2006 (ENCOPREVENIMSS).
- ❑ The study population was all IMSS members across the country: a person who is entitled to receive social security services within which healthcare is included; this comprises the insured and their beneficiaries (spouse, children, and parents)

METHODS

- ❑ The information of preventive care was obtained through **home interviews** and included all IMSS members living in the house, whether or not they had used IMSS services or looked for care in other health-care institutions, either public or private. The answers provided by the interviewee were confirmed by reviewing the information registered at the **PREVENIMSS booklet**.
- ❑ The surveys had **ethical approval** from the IMSS Institutional Review Board. All participants received information about the purpose of the study and were asked for their **informed consent** before starting the interview. To collect information from children, the mother or caretaker should have to provide her informed consent

METHODS

Sampling design

- ❑ The sampling design took into account that IMSS is divided into 37 state delegations. The surveys were planned to be representative in **every state** delegation for **each programmatic age group**. A **four-stage sampling** design was used.
- ❑ In the first stage, six family medicine clinics all belonging to the IMSS health care system were randomly chosen at each state delegation; (222 family medicine clinics)
- ❑ At the second stage, the geographic area of influence of each family medicine clinic was considered; then, a portion of this area was randomly chosen.
- ❑ At the third stage, a specific neighborhood was randomly selected.
- ❑ The fourth stage consisted in identifying the house-holds where IMSS members were living; the interviewers did home visits looking for IMSS members.

METHODS

- ❑ The interviewers were up to three times to the house to contact the potential participant. If the interviewers were unable to contact the residents of the selected household or if they refused to participate, then, the household was replaced with another with similar characteristics.
- ❑ The primary sampling unit was the household and the elementary unit was the IMSS member. We interviewed all household members entitled to receive IMSS services.

METHODS

- ❑ To get the estimates of coverage per programmatic age group, the sample size for the surveys was calculated using the following formula:

$$n = pq \left[\frac{(Z_{\alpha/2})^2}{\delta^2} \right] \frac{\text{DEFT}}{1 - \text{NR}}$$

- ❑ Assumptions: n = sample size, p = proportion of coverage (0.6), $q = 1 - p$, $\alpha = 0.05$, $\delta = 0.05$, design effect (DEFT) = 1.2, and non-response rate (NR) = 10%.
- ❑ The total sample size per delegation was 2,460, which multiplied by the 37 state delegations resulted in ~91,000 individuals in each survey.

METHODS

Sources of information and main variables

❑ The **PREVENIMSS booklet** was the main source of information and **physical measures** were taken in a subsample of interviewees for the 2006 survey.

❑ The **main variables in each programmatic age group** were:

Children (0-9 years): registry of height and weight, iron supplementation, oral health activities, visual acuity measurement and vaccines scheme.

Adolescents (10-19 years): registry of height and weight, oral health activities, visual acuity measurement, vaccines scheme and use of condoms.

Women (20-59 years): registry of height, weight and waist, screening for tuberculosis, cervical cancer, breast cancer, type 2 diabetes and hypertension

Men (20-59 years): registry of height, weight and waist, screening for tuberculosis, type 2 diabetes and hypertension.

Older adults (60 years and older): registry of height, weight and waist, pneumococcal vaccine, influenza vaccine, screening of tuberculosis, cervical cancer(women), breast cancer (women), type 2 diabetes and hypertension.

METHODS

- ❑ **Socio demographic variables:** age, sex, place of residence, literacy of individuals 5 years and older, occupation and size of the family (defined as the number of people living in the house).

METHODS

- ❑ In the 2006 survey, to complement the information, we took **physical measures** to estimate the prevalence of several conditions (unmet health needs).
- ❑ To obtain the information to estimate the prevalence of **malnutrition**, **overweight** and **obesity**, the interviewers measured height, weight, waist and hip circumferences to 25% of all interviewees.
- ❑ The levels of **cholesterol** and **blood glucose** were measured in 25% of interviewees that were above 19 years old.
- ❑ The levels of **hemoglobin** to ascertain anemia were measured in 25% of children below 5 years.
- ❑ **Blood pressure** measurements to 25% of interviewees older than 19 years were taken by using sphygmomanometers.

METHODS

- ❑ Criteria to ascertain **overweight and obesity** were as follows:

Children less than five years old: overweight, body mass index (BMI) between 2-3 Z score; obesity, > 3 Z score of WHO growth standard

Children 5 to 9 years: overweight and obesity BMI criteria of International Obesity Task Force

Adolescents: Overweight and obesity, BMI criteria of International Obesity Task Force

Women, men and older adults: overweight, BMI 25 to 29.9; obesity, BMI ≥ 30

- ❑ Criteria for **type 2 diabetes screening**: fasting glucose ≥ 126 mg/dl; casual glucose ≥ 200 mg/dl.
- ❑ Criteria for **hypertension**: systolic blood pressure ≥ 140 mm Hg in two subsequent measurements or diastolic blood pressure ≥ 90 mm Hg in two subsequent measurements in the same visit, at the beginning and at the end of the visit.

METHODS

Data analysis

- ❑ The statistical analysis included the ascertainment of the proportion of IMSS members who received preventive services. This was evaluated according to each programmatic age group. The increase of coverage throughout the years was estimated by comparing the groups of subjects per age group, year and type of preventive care.
- ❑ The slopes were compared by running a simple regression analysis, and the assessment of the goodness of fit was done by calculating the correlation coefficients (r^2).

RESULTS

Population Characteristics

- ❑ The number of IMSS members interviewed ranged from 79,797 respondents in 2003 to 117,036 respondents in 2006.
- ❑ The individual non-response rate in the four surveys was below 10%.

RESULTS

Coverage

Health programs for children

Coverage of preventive programs for children increased continuously: iron supplementation in children < 1 year (17.8% to 65.5%) prevention of childhood caries (40.5% to 58.1%) screening for congenital metabolic disorders phenylketonuria, congenital adrenal hyperplasia, biotinidase deficiency (60.3% to 81.6%) and visual acuity testing (12.5% to 47.5%).

Health programs for adolescents

Almost all components of the adolescents program, excepting the vaccination program, were implemented right from the onset of PREVENIMSS. The activities included measurement of weight and height, vaccines: measles - rubella (52.4% to 71.4%), tetanus toxoid-diphtheria (68% to 80%) hepatitis (9.3% to 46.2%) There was also increase in the use of condoms (17.9% to 59.9%) and in visual acuity testing (2.1% to 61.2%).

RESULTS

Table 3 Coverage of preventive services provided to children and adolescents

Coverage indicators in each age group	year				Linear slope	r ² linear adjustment
	2003	2004	2005	2006		
Children	n = 15,289	n = 20,762	n = 23,177	n = 22,365		
	%	%	%	%	%	%
Delivery of PREVENIMSS booklets	32.1	62.7	77.2	90.7	19.0	95.5
Weight measurement	72.2	73.5	79.0	84.4		
Height measurement	56.6	70.3	76.0	81.7		
Iron supplementation in children < 1 year old	17.8	46.0	47.7	65.5		
Completed scheme of vaccination by age	91.4	91.0	91.4	90.3	-0.3	52.1
Fluoride application	40.5	42.0	43.2	58.1	5.4	72.7
Hypothyroidism screening	97.1	96.7	98.5	98.0	0.4	49.9
Screening for congenital adrenal hyperplasia, phenylketonuria and biotinidase deficiency	-	-	60.3	81.6	21.3	100.0
Visual acuity screening	12.5	22.5	32.1	47.5	11.5	98.6
Adolescents	n = 13,356	n = 20,259	n = 21,474	n = 20,701	Linear slope	r² linear adjustment
	%	%	%	%	%	%
Delivery of PREVENIMSS booklets	25.9	54.6	68.9	84.3	19.0	97.0
Weight measurement	36.3	57.7	64.1	73.2	11.7	92.8
Height measurement	33.8	55.3	61.6	71.1	11.8	93.0
Measles-rubella vaccine	52.4	55.6	58.8	71.4	6.0	87.2
Tetanus toxoid and diphtheria vaccine	68.0	65.8	63.7	80.0	3.4	36.0
Hepatitis B vaccine	9.3	17.7	26.1	46.2	11.9	94.5
Use of condom in last intercourse	17.9	30.4	42.2	59.9	13.8	99.1
visual acuity screening	2.1	30.1	51.5	61.2	19.9	95.9

RESULTS

Coverage

Health programs for women

Measles-rubella vaccine increased from 28.5% to 59.2%, women undergoing cervical cancer screening for the first time or subsequent screening (three-year interval) increased from 66.7% to 75%. Breast cancer screening by using mastography began in 2004 and by the year 2006 its coverage was 22.1%.

Health programs for men

Weight and height measurements increased (56.8% to 73.9% and 47.2% to 70.6% respectively), type 2 diabetes screening increased from 38.6% to 57.8% and hypertension screening increased from 48.4% to 64.0%.

Health programs for older adults

Pneumococcal vaccination coverage increased from 13.2% to 24.9%. Influenza vaccine coverage also increased from 12.6% to 52.9%.

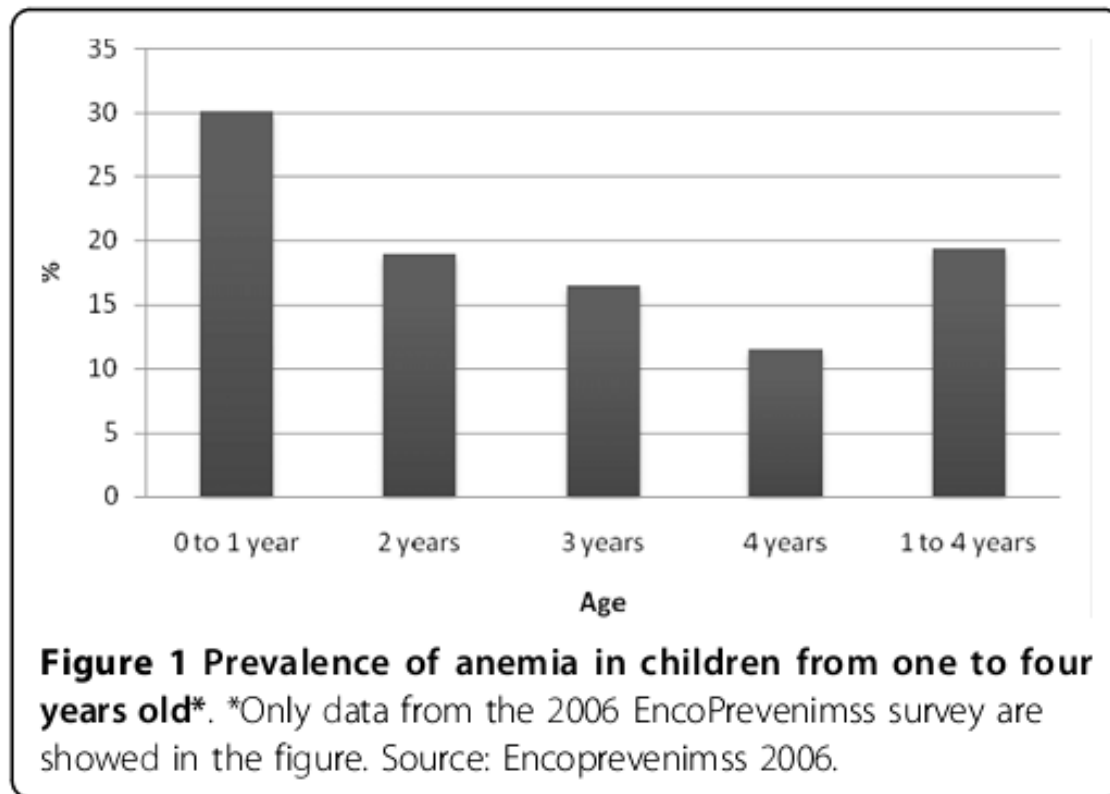
RESULTS

- ❑ The linear slopes and the r^2 linear adjustment outcomes show the strength of the linear relationship between PREVENIMSS and the increase in coverage for the different components of the program.
- ❑ This represents that the largest values in the table (closer to 1 or 100%) show the straight-line relationship between the program and the attained coverage figures.

RESULTS

Unmet needs

- ❑ Prevalence of anemia in children one to four years old (ENCOPREVENIMSS 2006)



RESULTS

Unmet needs

- ❑ Overweight, obesity, type 2 diabetes and hypertension:
Among the most important findings of ENCOPREVENIMSS are the prevalence of overweight and obesity, type 2 diabetes and hypertension, in both diagnosed and undiagnosed cases.
- ❑ The prevalence of overweight and obesity in every age group was as follows: children 9.5%; adolescents 30.9%; men 61.3%; women 62.1% and older adults 69.9%.

RESULTS

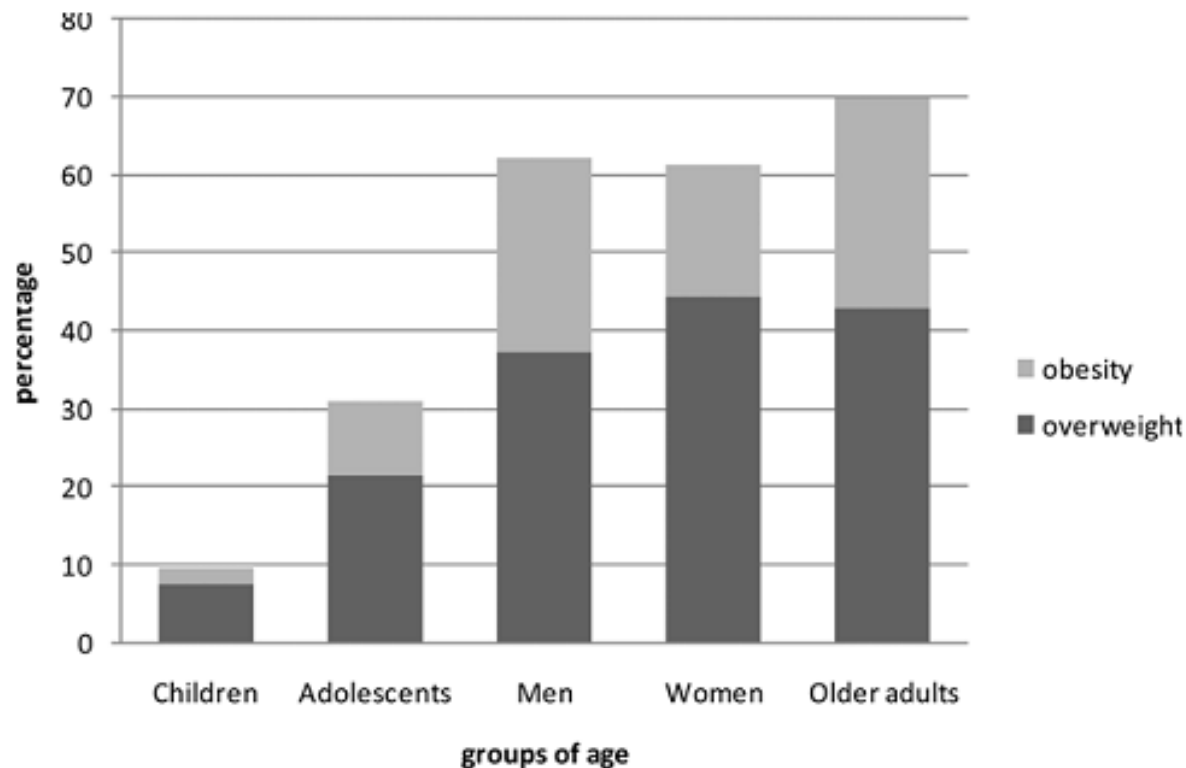


Figure 2 Prevalence of obesity and overweight in each age group*. *Only data from the 2006 EncoPrevenimss survey are showed in the figure. Source: Encoprevenimss 2006.

RESULTS

Unmet needs

- ❑ The total prevalence of **type 2 diabetes** was 14.8%, and 10% of the people with diabetes were unaware about their condition. One out of every four adults aged between 20 and 59 years had diabetes and the frequency of this condition increased with age.
- ❑ Total prevalence of **hypertension** was 35.6% and four out of ten people with hypertension were unaware that they had this condition.
- ❑ Prevalence of **hypercholesterolemia** was 12.8% in men, 14.6% among women and 22.1% in hypertension in women, men and older adults and the proportion of people with overweight and obesity.

RESULTS

Geographical distribution of overweight and obesity:

- ❑ To analyze this information the country was divided arbitrarily in five regions: North, Center, South, Southeast and Mexico City.
- ❑ Wide variations in the prevalence of overweight among regions and age groups.
- ❑ Geographical prevalence of Type 2 diabetes mellitus and hypertension

DISCUSSION

- ❑ PREVENIMSS' main goals were to **increase coverage of preventive services** based on health needs.
- ❑ The main findings of these surveys are the **continuous increase of the coverage of preventive actions** in the five age groups, and the ascertainment of the magnitude of old and emergent **unmet needs** among IMSS members, such as the high prevalence of anemia among children aged 0-4 years; the significant proportion of undiagnosed cases of hypertension in women, men and older adults and the proportion of people with overweight and obesity.

DISCUSSION

- ❑ **Measuring coverage** is particularly relevant to evaluate performance of individual programs within health systems and of individual countries regarding major international initiatives, such as the Millennium Development Goals (MDG).
- ❑ Mexico is on track to achieve the MDG-4 (two-thirds reduction between 1990 and 2015 in deaths of children under five years) and the coverage of preventive actions that IMSS has achieved with its members is an underlying factor of these results, given the size of the population this institution covers.

DISCUSSION

- A conceptual model has been proposed for assessing interventions to improve preventive services. This model comprises seven intervention components (reminders, feedback, education, financial incentives, regulatory interventions, organizational change and media campaign), four potential targets (patient, provider, organization and community) and key intervention features applicable to most of the intervention components (social influence, marketing, outreach, visual appeal, collaboration and teamwork, theory based, top management support and active learning strategies)

DISCUSSION

- ❑ We analyzed PREVENIMSS using this framework to identify its strengths and limitations.
- ❑ PREVENIMSS implemented several intervention components: reminders (through the booklets that address preventive activities), education (through educational activities aimed at promoting the use of preventive services); regulatory (through modifying the norms, regulations and criteria to provide preventive care); organizational change (through integrating all scattered preventive programs within a single strategy) and media campaign (through advertisements in radio, newspaper and television).
- ❑ The potential targets were, users, providers and the organization at central, district and local level. No financial incentives were considered as part of the intervention, neither actions promoting community participation were implemented as part of the program.

DISCUSSION

- ❑ Regarding the suffering from chronic conditions, ENCOPREVENIMSS reported that a significant proportion of interviewees were unaware of having either hypertension or diabetes.
- ❑ This suggests that PREVENIMSS must increase its screening activities in order to identify and diagnose cases for timely treatment.
- ❑ The interest in reinforcing preventive care for chronic diseases is due to its consequences for the individual and for the family, but also because these are high cost diseases that increase the burden for health care systems and for the society. Preventive services can contribute to avoid premature deaths and save resources.

DISCUSSION

- ❑ The high rates of overweight, obesity, type 2 diabetes, and hypertension among interviewees mirrors what is observed in the actual provision of care; these are the main causes of visits to IMSS primary care facilities and among the chief causes of hospitalization.
- ❑ The growing burden of these conditions already represents a heavy toll for health systems.
- ❑ The analysis of the geographical distribution of the prevalence of overweight, obesity, type 2 diabetes and hypertension showed important regional differences that allow making several assumptions.
- ❑ The percentage of individuals that did not know about their condition reflects an unmet need for preventive care.

DISCUSSION

limitations in the design and focus of the evaluation:

1) **The non-response rate as a source of bias.**

Two actions to compensate for the non-response rate:

a) To draw a larger sample size than needed (10%) and b) to replace the non-respondent households.

2) The surveys were not designed to measure or to evaluate the **organizational change at the family medicine clinics**. This shortcoming should be addressed in the short term and evaluating the organizational changes will provide key information to improve PREVENIMSS performance.

3) The survey did not collected information about the **diphtheria-tetanus vaccine**. This vaccine is routinely applied and the IMSS information system reports acceptable coverage figures however we should accept that this information should be included as part of the data.

DISCUSSION

- ❑ In 2005, to address prevention and control of chronic diseases, the World Health Organization published a **stepwise framework** that comprises three core steps:
 1. Estimate **population needs** and advocate for action,
 2. Formulate and adopt **policy**,
 3. Identify policy **implementation** steps.

- ❑ In a broader sense, IMSS actions that began in 2001 are similar to what WHO advises; PREVENIMSS identifies population needs and addresses the prevention component, while curative services, including primary care and hospital care are in charge of the control component.

DISCUSSION

- ❑ In a complex healthcare system, continuity and coordination of care between preventive and curative care, and among levels of care, requires **strong advocacy** and profound **organizational changes**.
- ❑ preventive care aims to avoid or delay the occurrence of diseases, to detect timely a disease, to avoid or delay complications when the condition is already present, to avoid premature deaths and to save resources. In fact, given that preventive care is appropriate for all, its provision is the first step to provide **universal coverage**, which in turn contributes in improving population health and reduces health disparities.

CONCLUSION

- ❑ After five years of its implementation, PREVENIMSS showed an important increase in coverage for the principal components of the program, and its working model could be applicable to reinforce nationwide preventive programs. The unsolved problems such as anemia, and the emerging ones such as overweight, obesity, among others, point out the need to strength preventive care through designing and implementing innovative programs aimed to attain **effective coverage** for those conditions in which prevention obtains substandard results.

STROBE STATEMENT
**CHECKLIST OF ITEMS THAT
SHOULD BE INCLUDED IN
REPORTS OF CROSS-
SECTIONAL STUDIES**